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#### Why do the eyes have it? 2

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#### Abstract 6

7 Humans often behave in an altruistic manner, even to completely unrelated strangers. 8 From economics to evolutionary biology, researchers have investigated what 9 mechanisms underlie such altruism. Recent findings show that even irrelevant 10 pictures of eyes make people more generous. This phenomenon is typically explained 11 by claiming that images of eyes, by inducing feelings of being watched, trigger social 12 evaluation and reputation concerns. In an experiment, we show that the effect of 13 pictures of eyes cannot be explained by this mechanism. Although pictures of eyes increase pro-social behavior in interaction tasks, they do not influence decisions in 14 15 individual decision making tasks. This stands in sharp contrast to past findings on 16 social evaluation and to the results obtained from a comparison treatment designed to trigger social evaluation concerns. Our results, however, can be explained by the role 17 18 that eyes play in relations of dominance and submissiveness, as found both in animal 19 and human studies. This suggests that research on altruism should not focus solely on higher level social constructs such as reputation building, but also consider the 20 21 impact of more primitive, lower level instincts. 22

Keywords: Eyes; Cooperation; Reputation; Prosocial Behavior; Social Evaluation; 23 24 Submissive Behavior. 25

#### 26 **1. Introduction**

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28 Humans often behave altruistically, even towards genetically unrelated 29 strangers. While some of this behavior can likely be explained by concerns for one's 30 (possibly third-party) reputation, this does not seem to be the complete story. Tightly 31 controlled economic experiments have repeatedly shown that subjects behave in an 32 altruistic manner to anonymous strangers even when opportunities for repeated 33 interaction and reputation formation are systematically ruled out (cf. Camerer, 2003). 34 A recent line of research has added to the debate on human cooperation by showing 35 that subtle, irrelevant cues can have a dramatic impact on altruistic behavior. In 36 particular, it has demonstrated that the mere presence of a picture of a pair of eyes, or 37 an eye-like stimulus leads to a significant increase in altruistic behavior (Bateson et 38 al., 2006; Burnham & Hare, 2007; Ernest-Jones et al., 2011; Haley & Fessler, 2005; 39 Rigdon et al., 2009).

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41 The common interpretation of this finding adopts a reputation building 42 perspective on human altruism. According to this view, eye cues trigger feelings of 43 being watched, and thereby, of being socially evaluated. This, consequently, leads 44 people to act altruistically to keep up a good reputation. Such an argument seems 45 plausible, given that actual opportunities to acquire a positive reputation that may pay 46 off in the future have been found to enhance pro-social behavior (Engelmann & 47 Fischbacher, 2009; Fehr et al., 2009; Gächter & Fehr, 1999; Milinski et al., 2001, 48 2002; Rege & Telle 2004; Rockenbach & Milinski 2006; Seinen & Schram 2006; 49 Wedekind & Milinski 2000). Recent findings by Fehr and Schneider (2010), however, 50 cast doubt on this interpretation of the eye effect. In their study, eye cues failed to

affect strong reciprocity–defined as the tendency to reward cooperative acts and
punish uncooperative ones–even though explicit and monetary reputation incentives
had a large positive impact on such behavior.

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55 While not often recognized, a general reputation based account for the effect of eyes implies that its influence should not be limited to triggering pro-social 56 57 behavior. Studies investigating the impact of eyes or eye-like stimuli have thus far 58 focused exclusively on interaction tasks; i.e., tasks in which one person's decisions 59 influence the outcomes of others. Concerns for social evaluation and reputation, 60 however, are more general and their effect should extend to other tasks as well. When 61 people know they are being judged or expect to be judged later on, they will not only 62 care about signaling a cooperative disposition, but will also want to make decisions 63 more carefully to avoid mistakes and to be able to justify their choices. Psychological 64 research indeed finds that people adjust their behavior if they expect to be evaluated, 65 even in individual decision making tasks where their decisions do not influence the outcomes of others (Kruglanski & Fruend, 1983; Lerner & Tetlock, 1999; Vieider, 66 67 2011). When subjects know the prevailing view among their audience, they attempt to make decisions that comply with this view to win their approval. When they do not 68 69 know the view of their evaluators, they generally engage in pre-emptive self criticism, 70 carefully analyzing the problem to arrive at more justifiable decisions (Lerner & 71 Tetlock 1999). If eye-like stimuli indeed induce social-evaluation and reputation 72 concerns, their impact should thus not be limited to triggering pro-social behavior in 73 interaction tasks, but also extend to choices in individual decision making tasks.

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75 Interestingly, alternative explanations for the effect of eyes on social behavior 76 may not lead to the same prediction. Another well studied mechanism would indeed 77 suggest that the impact of eye gaze is limited to triggering pro-social behavior in interaction tasks, and will not influence behavior in individual decision making tasks. 78 79 This mechanism relies on the fact that eye gaze plays a crucial part in establishing and 80 maintaining relations of dominance and submissiveness: a direct gaze can be a signal 81 of confrontation and authority which will often create feelings of discomfort, whereas 82 reversion of one's eyes can be perceived as a sign of fear or submission. Biological 83 research shows that animals living in hierarchical social systems typically associate 84 eyes with the threat of punishment by more dominant members (Emery, 2000). Dogs, 85 for example, are more likely to show obedience when human eyes are upon them 86 (Call et al., 2003). Similarly, non-human primates exhibit more submissive behavior, 87 such as lip-smacking and teeth chattering, when watched by conspecifics (Emery, 88 2000; Öhman, 1986). Related neuroscientific research has revealed that humans detect 89 and respond to eyes and faces automatically (e.g., Wahlen et al., 1998), and that 90 humans and non-human primates share a similar neural architecture for recognizing 91 and reacting to eyes and faces (Baron-Cohen, 1995; Emery, 2000). This suggests that 92 such responses are ingrained by evolution and inherited from our primate ancestors 93 (Burnham & Hare, 2007; Haxby et al., 2000; Milinski & Rockenbach, 2007). In line 94 with this claim, neuroimaging studies have shown that direct eye gaze activates neural 95 circuitry related to fear and submissive behavior in humans (Schneier et al., 2009). 96 These findings imply that the increase in pro-social behavior found in response to eye 97 primes could also be a form of submissiveness, triggering appeasement behaviors (Gilbert, 2001). Consequently, fear of social evaluation and reputation concerns do 98 99 not constitute the only explanation for the eye effect. Furthermore, alternative

100 explanations such as submissiveness will likely imply different behavioral

101 predictions. Social evaluation and reputation concerns should also influence behavior

102 in individual decision making tasks, but this is not the case for submissiveness as

103 there is no one to be submissive to in such tasks.

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In the present study, we report the results of an experiment designed to test whether eyes trigger social evaluation and reputation concerns regarding potential onlookers. As in the previous studies on eyes, we use visual priming, which aims to heighten the accessibility of the concepts under consideration at a level below the subjects' conscious awareness by using specifically selected pictures. In order to test social evaluation as a possible explanation for the eye effect, we employ a dual strategy.

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First, we expand the domain of choice tasks to include individual decision making tasks in addition to the interaction tasks. This allows us to investigate the effect of eyes in tasks where one's decisions do not influence the outcomes of others.

117 Second, in addition to a benchmark treatment, which uses socially neutral 118 primes, and an "eyes" treatment, we implement a "peers" treatment in which pictures 119 of our subjects' social group (i.e., university students) are displayed during the 120 experiment. This treatment is added with the aim of inducing social evaluation and 121 reputation concerns by directly reminding subjects of relevant others. 122

Our dual strategy of (1) expanding the range of tasks employed, and (2)
including an additional treatment in the experiment, which acts as reference point for

125	social evaluation concerns, allows for a comprehensive test of whether social
126	evaluation is a possible explanation for the eye effect. If eyes and eye-like stimuli
127	influence behavior by giving one the feeling of being under social evaluation, then we
128	should expect reminders of "peers" and "eyes" to have similar effects on behavior,
129	both in the interaction and individual decision making tasks. Therefore, by examining
130	the differences and similarities between the effects of "peers" and "eyes" in both
131	interaction and individual decision making tasks, we shed light on the question of
132	what mechanism underlies the eye effect.
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134	2. Method
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136	2.1 Participants
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138	We conducted an online experiment on 165 students from the Erasmus School

139 of Economics (henceforth ESE), Erasmus University Rotterdam, the Netherlands 140 (32% females, age range = 18-33, mean = 21.1 years, S.D. = 2.06 years). It took place 141 in the first half of June 2010. We sent an email to 600 students with personalized links 142 to the website developed for the experiment. Students were told that they had two 143 weeks to participate if they wished, and could receive up to €50. They received a reminder one week later. The invitation emails and instructions can be found in the 144 145 electronic supplementary material. Participants could withdraw from the experiment 146 at any time and the data were analyzed anonymously.

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# **2.2** *Procedure*

152	We constructed a replica of the ESE website (Figure 1) for this experiment.
153	When one logs in to any computer at the ESE, Internet Explorer opens up
154	automatically with the ESE website as its homepage, displaying news and important
155	information. Students and staff members have to use this website to look up
156	information and for many administrative procedures. Like the ESE website, our
157	experimental website was bilingual (Dutch and English) and compatible with
158	(common versions of) most browsers (such as Internet Explorer, Mozilla Firefox,
159	Opera, Safari, and Chrome) and most screen sizes.
160	
161	To prime our subjects with pictures of "eyes" and "peers" in an unobtrusive
162	manner, we used the fact that the official ESE website has a banner displaying
163	rotating pictures from the campus. We constructed three treatments by manipulating
164	the types of pictures that were rotating in this banner.
165	
166	As the "eyes" stimuli, we used photographs of the faces of statues of the
167	school's name giver, Erasmus. Students are familiar with images of Erasmus; there
168	are multiple statues of him on the campus, and his image appears on official
169	university documents. Thus, using such pictures would not appear out of the ordinary,
170	and we could safely assume that the cues remained sufficiently subtle. Moreover, the
171	fact that the statues have neutral facial expressions reduced the risk of accidently
172	priming emotions. Using a famous intellectual like Erasmus could potentially create a
173	desire to appear smart, as priming subjects with words like "professor", for instance,
174	has been found to improve performance at answering trivia questions (Dijksterhuis &

van Knippenberg, 1998). However, our results showed that pictures of Erasmus' eyes
did not lead to better performance, allowing us to rule out this possibility. This point
is further discussed in the last section of the paper.

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As "peers" cue, we used pictures of students on the campus, not looking at the camera, to avoid a potential eye effect. Since our subject pool consisted of undergraduate students, representations of their fellow university students could remind them of their social group and spark social evaluation concerns at a level below their conscious awareness. This approach can be compared with Shah's (2003) who found that representations of significant others can automatically affect one's goals.

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187 Finally, as benchmark, we used pictures of empty halls of the university 188 (Figure 2). On the whole, the pictures from the three treatments did not differ much 189 from pictures one could find on any university website and were similar to the regular 190 pictures found on the ESE website. Along with the treatment pictures, subjects also 191 saw pictures of university buildings that were common to all treatments and were 192 taken from the ESE website. Each participant was randomly allocated to one of the 193 three treatments, and all tasks were carried out for real money for some randomly 194 selected participants after the experiment.

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In the experiment, participants completed four tasks: two involving interaction between subjects and two involving individual decision making under uncertainty (the order of the tasks was randomized between subjects). The four tasks were selected in order to establish whether the "eyes" and "peers" cues had the desired effect, while

200 simultaneously allowing us to possibly discriminate between the effects of "eyes" and 201 "peers". Each task and the corresponding predictions are described in detail below.

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203 At the end of the experiment, students answered demographic questions 204 (gender, age, nationality, education) and stated whether they used calculators during 205 the experiment (this was relevant for one of the tasks, as we will explain in section 6). 206 Three subjects did not complete the demographic questionnaire. Some of the answers 207 for the first task described below were missing and about sixty subjects were asked to 208 re-enter them (twelve did not). Because this affected every treatment equally, there was no reason to believe that it would affect our results. We nonetheless studied 209 210 whether it had any effect on our results and found that it had none (see electronic 211 supplementary material). For each task, we first report simple non-parametric tests for 212 treatment differences and then apply more advanced, parametric statistical models that 213 control for subjects' characteristics. 214 215 3. Task 1: Joy of Destruction mini-game 216 **3.1** Description 217 218 219 The first interaction task we used was the so-called Joy of Destruction mini-220 game (JoD) (Abbink & Herrmann, 2010). Although traditionally research on cooperation and social-preferences focuses predominantly on pro-social behavior, a 221 222 recent and growing literature has started to apply economic games to study anti-social behavior, such as the anti-social punishment of co-operators in public good settings 223 (e.g., Gächter & Herrmann, 2009; Gächter et al., 2010; Herrmann et al., 2008). The

225 JoD is part of this literature and has been used to show that a large fraction of subjects 226 are willing to pay money in order to destroy part of the payoff of another subject. In 227 particular, subjects destroy the other opponents' payoffs only infrequently when their 228 behavior can be perfectly observed and their opponents can find out with certainty 229 what caused the destruction. When the situation is altered, however, so that their 230 opponent can no longer find out with certainty whether the destruction was caused by 231 nature or by intention, the willingness to destroy markedly increases. Note that this 232 treatment difference occurred despite the fact that subjects were completely 233 anonymous in both cases (Abbink & Herrmann, 2010; Abbink & Sadrieh, 2009). 234

235 To achieve a significant amount of destruction and thereby facilitate the 236 investigation of possible differences between our treatments, we adopted the "hidden" 237 set-up of the JoD, in which it is unclear to the subjects what caused the reduction of 238 their income. In our JoD variant, two subjects each received an endowment of €25. 239 Then, unaware of each other's identity, both subjects were asked whether they would 240 want to pay  $\in 1$  to destroy  $\in 10$  of the other player's endowment. With a 1/3 241 probability, €10 of the opposing subject's endowment would be destroyed irrespective of this decision, making it impossible for the opposing subject to tell what caused the 242 243 destruction.

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#### 245 3.2 Predictions

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The prediction of the social evaluation mechanism is clear in this task, and it therefore allows for a validation that our "peers" prime has the desired effect. There is no compelling rationale behind destruction: it is harmful to others and costly to

250	oneself. Consequently, destroying will likely be negatively evaluated by peers and,
251	thus, social evaluation concerns should lead to lower destruction rates. Note that this
252	prediction is consistent with the past findings on the JoD, which suggest that
253	destruction mainly occurs in situations where behavior cannot be perfectly observed.
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255	Furthermore, since the past studies have indicated that eyes increase pro-social
256	behavior in simple tasks, this task also helps us to validate whether the effect of our
257	"eyes" prime aligns with the past findings of eyes.
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259	3.3 Results
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261	The overall destruction rate we obtain over the three treatments is similar to
262	the findings of experiment of Abbink & Herrmann (2010). Over our entire sample,
263	24.84 percent of the subjects decide to destroy ( $N = 153$ ), compared to 25.8 percent of
264	the subjects in their experiment. Across treatments, however, we observe sharp
265	differences.
266	
267	In our benchmark treatment ( $N = 51$ ), participants destroy 38.78 percent of the
268	time (Figure 3a). The destruction rate is halved in the "eyes" ( $N = 49$ ) and "peers" ( $N$
269	= 53) treatments, constituting a significant decrease ("eyes": 17.65%, $\chi 2(1) = 5.534$ , P
270	= 0.019; "peers": 18.87%, $\chi^2(1)$ = 4.959, $P$ = 0.026). There is no significant
271	difference between the "eyes" and the "peers" treatment ( $\chi 2(1) = 0.026$ , $P = 0.872$ ).
272	
273	To show the robustness of these findings, Table 1 displays the results of a
274	Probit model on the probability that a participant destroys the endowment of another

275	participant controlling for background characteristics of our subjects. In particular, we
276	find that the destruction rates drops significantly, by approximately 17.7 percentage
277	points in the "eyes" and 14.4 percentage points in the "peers" treatment as compared
278	to the benchmark when we control for background characteristics. With regard to
279	background characteristics, we find no significant effects apart from nationality. In
280	our sample, Dutch students are 25.5 percentage points less likely to destroy.
281	
282	In short, both simple, non-parametric tests and regression analyses show that
283	both "eyes" and "peers" pictures decrease the incidence of anti-social behavior. These
284	results are reassuring in that they provide a confirmation that our priming produces
285	the desired effects.
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287	4. Task 2: Dictator game
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289	4.1 Description
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291	The second interaction task was the Dictator game, which is widely-studied in
292	economics and demonstrates what is often deemed to be pure altruism on the part of
293	the subjects (Camerer, 2003; Fehr & Fischbacher, 2003). In this game, one subject,
294	the dictator, received a monetary endowment of $\in$ 50 and was asked how much he or
295	she would donate to another, anonymous, subject. The other subject simply received
296	what had been donated to him or her, and nothing else. The pro-social action here was
297	to donate some money to the receiver, but this would in return lower one's own
298	income. We chose this task because the impact of eye-like stimuli on the dictator
299	game has been studied before (Haley & Fessler, 2005; Rigdon et al., 2009). These

300 past studies found donation rates to be significantly higher after priming with eyes.

301 Including this task in our experiment, thus, provides us with the opportunity to see

302 whether we could replicate this eye effect in our web-based set-up.

303

304 4.2 Predictions

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306 Aside from the replication argument, a major advantage of using the dictator 307 game is that the impact of social evaluation concerns is less obvious than has 308 previously been claimed. Subjects who care about how their peers will judge their 309 actions face a dilemma. On the one hand, if their peers perceive making donations as a 310 selfless, pro-social gesture, it could earn them their approval. If, on the other hand, 311 their peers view donation to a total stranger as an irrational and senseless act, then 312 they would risk drawing negative criticism from their peers. The latter interpretation 313 is especially probable considering our subject pool: economics students have been 314 shown to act more in accordance with rational self interest (Frank et al., 1993). In fact, 315 in the dictator game, economics students have been found to donate even less under 316 the scrutiny of their peers than they would do in private (Dufwenberg & Muren, 2006). As a consequence of these conflicting interpretations, there is no clear 317 318 prediction for the impact of social evaluation. Nevertheless, our "peers" treatment 319 allows us to observe how social evaluation influences our specific subject pool in the 320 dictator game.

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325	The standard finding with respect to the dictator game is that over 60 percent
326	of the subjects decide to give away money, with a mean of 20 percent of their
327	endowments unconditional on giving, although the rational, self-interested action is
328	not to allocate any money to the other player (cf. Camerer, 2003). Over our entire
329	sample, our findings are in line with these statistics; a total of 63.64 percent of our
330	subjects give away money, while the average amount transferred is €10.93, implying
331	around 22 percent of the $\notin$ 50 endowment ( <i>N</i> = 165, 55 in each treatment).
332	
333	In our benchmark treatment, participants give away €9.75 on average (Figure
334	3b). As found previously (Haley & Fessler, 2005; Rigdon et al., 2009), pictures of
335	"eyes" strongly increase donations to an average of $\in 13.93$ (Mann-Whitney, $z = -$
336	1.989, $P = 0.047$ ). This replication of previous results thus provides evidence that the
337	"eyes" cue works as intended and thereby supports the validity of our web-based
338	approach. By contrast, the average donation in the "peers" treatment does not
339	significantly differ from the benchmark (mean: $\notin 9.11$ , Mann-Whitney, $z = 0.817$ , $P =$
340	0.414). Donations are significantly different between the "eyes" and the "peers"
341	treatment (Mann-Whitney, $z = -2.497$ , $P = 0.013$ ).
342	
343	Regarding the probability of donating, we find that donation rates are highest
344	in the "eyes" treatment, in which 76.36 percent of the subjects donate a positive

amount. In the benchmark, this percentage is considerably lower, at 63.64 percent, 345

while it is lowest in the "peers" treatment, at 50.91 percent. Here, however, neither the 346

"eyes" nor the "peers" treatment differ significantly from the benchmark ( $\chi^2(1) <$ 347

348 2.121, P > 0.145). They do differ significantly from each other, showing that subjects 349 in the "eyes" treatment are significantly more likely to donate as compared to subjects 350 in the "peers" treatment ( $\chi^2(1) = 7.700, P = 0.006$ ).

351

As we did with the JoD mini-game, we apply regression techniques to assess 352 353 treatment effects after controlling for potential disturbances due to differences in 354 background characteristics. Table 1 shows the results of a Probit model on the 355 probability that a participant allocates a non-zero amount to another participant. 356 Controlling for background characteristics increases the significance of the "eyes" treatment sharply, indicating that participants in the "eyes" treatment are significantly 357 358 more likely to give a positive amount to another participant compared to the subjects 359 in the benchmark treatment (P = 0.046). The size of this effect is considerable: the subjects in the "eyes" treatment are almost 18 percent points more likely to donate 360 361 money compared to the subjects in the benchmark treatment when we control for 362 background characteristics. Compared to the "peers" treatment, the difference is more than 25 percentage points (P = 0.002, untabulated). The difference between the 363 "peers" treatment and the benchmark is not statistically significant. Again, the only 364 background characteristic that seems to matter is nationality: Dutch students are 22 365 percentage points less likely to allocate a positive amount to another participant (P =366 367 0.009).

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When assessing the amount given by a participant, we use a Tobit estimation procedure to account for the fact that our dependent variable "Amount given" is censored between  $\notin 0$  and  $\notin 50$ . Table 1 depicts the results. Controlling for background characteristics the "eyes" effect remains statistically significant (*P* = 0.043).

373 Furthermore, the "peers" effect remains insignificantly different from zero.

Interpreting the treatment parameter, an individual's willingness to donate increases by about  $\notin$ 7.41 in the "eyes" treatment compared to the benchmark treatment. The difference between the "eyes" treatment and the "peers" treatment is statistically significant (*P* = 0.017, untabulated). None of the background characteristics seem to have a strong influence on behavior, except that Dutch students appear less willing to donate money (*P* = 0.088).

380

381 In summary, we are able to replicate the eye effect in the dictator game. When exposed to pictures of eyes, subjects donate more money to a random stranger. When 382 383 background characteristics are controlled for, subjects are also more likely to donate 384 money as compared to the subjects in the benchmark treatment. Subjects in the "peers" treatment, however, do not differ significantly from subjects in the benchmark 385 386 treatment. Furthermore, these subjects donate significantly less money and are 387 significantly less likely to donate than subjects in the "eyes" condition. The null result of the "peers" treatment is consistent with the fact that, especially for our subject pool, 388 389 it is unclear whether donating will be positively or negatively evaluated. Therefore, 390 this task gives the first indication that eyes probably trigger something different from 391 social evaluation concerns. The following two tasks, involving no interaction, further 392 help us test whether social evaluation can explain the eye effect.

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#### 398 **5. Task 3: Ellsberg's paradox**

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#### 400 **5.1 Description**

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The third task we employed was a variant of the standard ambiguity aversion
task devised by Ellsberg (1961). It involved two bags containing black and red chips;
in one bag (Bag K) the proportion of red and black chips was known, whereas in the
second bag (Bag U) this proportion was unknown. The subjects were asked to choose
a color (black or red) and a bag to draw a chip from. If the color of the drawn chip
was the one they had chosen, they would receive €50.

408

409 When the proportion of red and black chips is 50-50, Bag K and Bag U are 410 normatively equivalent: following Laplace's argument that ignorance should be 411 represented by a uniform probability distribution, Bag U should also be considered as 412 a 50-50 bag. If subjects do not follow this argument and believe that one of the colors 413 makes up more than 50% of the balls in Bag U, then they should strictly prefer Bag U 414 and bet on this color. Nevertheless, many studies have shown that a disproportionate number of people choose Bag K (Camerer & Weber, 1992). The distaste for the 415 416 unknown bag is often referred to as ambiguity aversion, and, given that the bags are 417 normatively equivalent, it can be interpreted as a bias (see for instance Raiffa, 1961). 418

In our experiment, we implemented the standard Ellsberg choice situation with a 50-50 proportion of red and black chips in Bag K, but we also varied the proportion of red and black chips from 10%-90% to 90%-10% (i.e., 10%-90%, 20%-80%, 30%-70%...). For each possible proportion for Bag K, the subjects were asked to state

which bag (K or U) they would prefer to draw a ball from. It turned out that when the
probability was different from 50%, subjects overwhelmingly selected the
normatively superior option, i.e., Bag K if the probability of winning in this bag was
60 percent or higher, Bag U if the probability of winning in Bag K was 40 percent or
lower. No clear differences between treatments could therefore be detected in these
scenarios (see electronic supplementary material). Hence, we report only our analysis
of the traditional 50-50 case.

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### 431 5.2 Predictions

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433 In this task, social evaluation pressures can be expected to have an effect, with 434 subjects striving to make more justifiable choices. However, in what way striving for 435 justifiability will affect the decisions is not clear. On the one hand, Curley et al. (1986) found that publicly experiencing the consequence of one's own decision in an 436 437 Ellsberg task generates more ambiguity aversion (see also Trautmann et al., 2008). 438 These authors argued that subjects will fear negative evaluation if the bet does not pan 439 out and feel that a choice for bag K is easier to justify due to its informational advantage (its content is known, unlike the one of bag U). On the other hand, past 440 441 literature suggests that social evaluation concerns will lead subjects to engage in preemptive self criticism and conduct a more careful analysis of the problem in order to 442 arrive at more justifiable decisions (Lerner & Tetlock, 1999). If, indeed, social 443 444 evaluation concerns promote a better examination of the problem at hand, then in the 445 case of the Ellsberg task, subjects should be more likely to understand that the two bags offered the same chance of winning and less likely to show a bias. This would 446 447 lead to the prediction that social evaluation should reduce ambiguity aversion in this

task. In fact, in agreement with this argument Keck et al. (2011) found that students
who are given the opportunity to discuss the Ellsberg task with others are more likely
to act in an ambiguity neutral (normative) manner. We are thus unable to predict the
effect of social evaluation in this task. Nevertheless, the "peers" treatment can inform
us about this impact, which we can then compare with that of the "eyes" treatment.

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454 5.3 Results

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456 In line with past findings, we observe that the majority of subjects chooses Bag K in our benchmark treatment, only a small fraction selecting the ambiguous Bag U (N =457 458 55, 14.45%, see Figure 4a). It is interesting to note that we find no effect of "eyes" (N 459 = 55, 20%,  $\chi^2(1) = 0.573$ , p = 0.449). In the "peers" treatment, however, subjects are 460 significantly less likely to show a bias against the ambiguous option: more than a third 461 of the subjects chooses Bag U (N = 55, 34.55%, comparison with the benchmark: 462  $\chi^2(1) = 5.939$ , p = 0.015, comparison with the "eyes":  $\chi^2(1) = 2.933$ , p = 0.087). This corroborates the claim that "peers", but not "eyes", invoke social evaluation concerns. 463 464 To investigate the robustness of this finding, we perform a Probit analysis on the likelihood of choosing Bag U. The findings are reported in Table 2. This analysis 465 466 yields results that are perfectly consistent with the  $\chi^2$ -tests reported above. Subjects 467 are significantly less likely to show a bias against bag U in the "peers" treatment as compared to the benchmark treatment (P = 0.033), while there is no difference 468 between the "eyes" treatment and the benchmark treatment (P = 0.267). When we 469 control for background characteristics, the "eyes" and "peers" treatment do not differ 470 significantly from each other (p = 0.302). None of the background characteristics 471 472 significantly influences the choice for Bag U.

473	The analyses thus show a robust effect of the "peers" treatment. Pictures of
474	peers reduce the likelihood that subjects exhibited a bias against Bag U. The increased
475	preference for the ambiguous option in the "peers" treatment is in line with the
476	reasoning that social evaluation diminishes ambiguity aversion by leading to more
477	careful consideration. By contrast, we find no significant evidence for an effect of
478	"eyes" on this decision. In addition to the findings from the dictator game, this
479	provides further evidence that eyes do not induce social evaluation concerns.
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- 481 **6. Task 4: Simple vs. compound lotteries**
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#### 483 **6.1 Description**

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485 Bar-Hillel (1973) has shown that people show systematic biases when 486 comparing simple gambles to compound gambles. To be more specific, people seem 487 to overestimate the likelihood of conjunctive events (e.g. drawing, with replacement, four red chips from a bag with 10 black and 10 red chips) and underestimate the 488 489 likelihood of disjunctive events (e.g. drawing, with replacement, at least one red chip 490 from a bag with 9 black chips and 1 red chip when one is allowed to try four times). 491 The cause for this bias is often thought to be a realization of the anchoring and 492 adjustment heuristic (Tversky & Kahneman, 1974). It is believed that the subjects look at the compound event and think about the probability of drawing a particular 493 494 chip, which then takes the role of an anchor. If they do not adjust properly for the 495 compound nature of the event, this leads to an overestimation of conjunctive events and an underestimation of disjunctive events. Thus, people end up overvaluing the 496 497 conjunctive gambles and undervaluing the disjunctive ones.

499 In the last task, we investigate the effect of our primes on people's evaluation of compound gambles. Subjects were asked to make six choices between simple and 500 501 conjunctive (compound) gambles, which were similar to the ones proposed by Bar-(1973) and have previously been implemented by Vieider (2011). For instance, in a 502 503 simple gamble, a subject would extract one chip from a bag with 10 red and 10 black 504 chips, and win €50 if the chip was red. In the conjunctive, compound gamble, she 505 would extract 7 times (with replacement) from a bag with 18 red and 2 black chips, 506 and win €50 if the chip was red each time. In all of the choice-situations of this task, 507 the probability of winning in the simple gamble marginally exceeded that of the 508 conjunctive, compound gamble. Nevertheless past research suggests that a significant 509 number of people would find the compound gamble more attractive (Bar-Hillel,1973; 510 Kruglanski & Fruend, 1983; Vieider, 2011).

511

#### 512 6.2 Predictions

513

514 As in the previous task, social evaluation concerns should lead to a desire to make better, more justifiable choices and, thus, lead to a lower likelihood of choosing 515 516 the inferior compound gambles. Kruglanski and Freund (1983) and Vieider (2011) 517 indeed found that subjects who expect their choices to be evaluated later on are more 518 likely to make the correct choice when deciding between simple and compound 519 events. Just as the dictator game allowed us to check whether our online experiment 520 could replicate past findings on the effect of eyes, the current task allows us to check whether the "peers" treatment (and possibly the "eyes" treatment as well, if eyes 521

498

trigger social evaluation) replicates results from the previous research on socialevaluation.

524

525 6.3 Results

526

527 Since the simple gamble always dominates the compound gamble, we will 528 refer to the choices that favor the compound gambles as mistakes. In the benchmark 529 treatment (N = 55), less than a third of the subjects do not make any mistakes (Figure 530 4b). There is no difference between the "eyes" (N = 55) and the benchmark treatment (both 32.73%,  $\gamma 2(1) = 0.000$ , P = 1). In the "peers" treatment (N = 55), however, 531 532 49.09 percent of the subjects never make a mistake. The difference between the 533 "peers" treatment and the two other treatments separately is marginally significant 534 (both:  $\chi^2(1) = 3.046$ , P = 0.081) and significant at the five percent level when the 535 other two treatments are combined ( $\gamma 2(1) = 4.160, P = 0.041$ ). 536

537 Looking at the number of errors reveals a similar pattern. The median number of errors is one out of six in the "peers" treatment, as compared to two out of six in 538 the other two treatments. The mean number of errors is 2.27 in the benchmark, 1.98 in 539 the "eyes" and 1.60 in the "peers" treatments. Mann-Whitney tests indicate the 540 541 difference in number of errors to be marginally significant between the "peers" and the benchmark treatment (Mann-Whitney, z = 1.766, P = 0.077). The "eyes" treatment 542 does not differ significantly from the two other treatments (P > 0.229, two-sided). It 543 is noteworthy that nobody in the "peers" treatment made 6 mistakes, while in the 544 "eyes" and benchmark treatments, 5.45 percent and 10.91 percent of the subjects, 545 546 respectively, made the maximum number of mistakes.

548	In order to examine the robustness of the findings, we again apply regression
549	techniques. First, we estimate a Probit model on the likelihood of making one or more
550	mistakes. Results are reported in Table 2. The results perfectly coincide with the $\chi^2$ -
551	tests reported earlier; we observe no difference between the "eyes" and the
552	benchmark, a marginal significant difference between "peers" and benchmark
553	treatment ( $P = 0.056$ ), and a significant difference between the "peers" and the "eyes"
554	treatment ( $P = 0.002$ , untabulated). The decrease in percentage is considerable;
555	controlling for background characteristics, we find that subjects in the "peers"
556	treatment are 22.54 percentage points less likely to make a mistake as compared to
557	subjects in the benchmark treatment. With regard to the control variables, we find that
558	females are significantly more likely to make an error, while Dutch students are less
559	likely to make an error. Using a calculator drastically decreases the likelihood of
560	making an error.

561

In addition to the binary Probit analysis, we estimate an ordinal Probit model 562 on the number of errors made by a subject. Table 2 reports coefficients with the 563 564 corresponding significance levels. When we control for background characteristics, 565 we find that subjects in the "peers" treatment make significantly fewer errors compared to subjects in the benchmark treatment (P = 0.023). The "eyes" treatment 566 567 does not differ significantly from the benchmark treatment (P = 0.632). The difference between the "peers" and "eyes" treatment is marginally significant (P =568 0.057, untabulated). With respect to the background characteristics, we find that 569 570 Dutch students make significantly fewer mistakes, as do the subjects who use a 571 calculator.

573	These results clearly suggest that the "peers" prime reduces the tendency to
574	make the mistake of choosing the objectively inferior compound gamble. This result
575	agrees with the prediction and past findings on social evaluation (Kruglanski and
576	Freund, 1983; Vieider, 2011). At the same time, the "eyes" prime does not lead to an
577	increased tendency to choose the simple gamble. Therefore, along with the dictator
578	game and the Ellsberg Paradox, this task provides further support that social
579	evaluation concerns are triggered by the "peers" but not the "eyes" prime.
580	
581	7. Discussion
582	
583	In the current paper, we applied a dual strategy to test whether subtle eye cues induce
584	concerns for social evaluation and one's reputation. (1) We expanded the range of
585	tasks from only interaction tasks to individual decision making tasks. Simultaneously,
586	(2) we directly compared the effect of eyes with that of another treatment which
587	aimed at inducing social evaluation concerns. In the interaction tasks, priming with
588	eyes led to less aggressive and more self-sacrificing behavior. However, it had no
589	effect in the individual decision-making tasks. By contrast, when reminded of their
590	peers, subjects did not become unequivocally more pro-social. Rather, in line with the
591	predictions of social evaluation, they seemed to make smarter decisions, possibly out
592	of increased levels of pre-emptive self-criticism. In other words, our results suggest
593	that the effect of eyes on altruistic behavior does not run through the mechanism of
594	triggering social evaluation concerns.
595	

596 As mentioned in the introduction, biological and neuroscientific research suggests a different mechanism may be at play: an evolutionary ingrained fear of 597 dominants. A direct stare can indeed be a signal of confrontation and authority and 598 599 has been found to induce submissive behavior in both animals and humans. As 600 submissiveness is associated with keeping a low profile and avoidance of 601 confrontation, it can explain, in the JoD task, the reduced tendency to destroy the 602 opponent's endowment. The increase in the donations observed in the dictator game 603 can be interpreted as a form of appeasement behavior, and thus, of submissiveness. 604 Furthermore, submissiveness should not influence behavior in individual decision 605 making tasks as there is no one to be submissive to. This is consistent with the null 606 result we observed for the "eyes" treatment in such tasks. Further research should 607 investigate this possible link between eye priming and submissiveness. More 608 generally, our study suggests that behavioral research on altruism should not solely focus on higher level social constructs such as reputation building, but also consider 609 610 the impact of more primitive, lower level instincts arising from our ancestral history. 611

612 The fact that our subtle primes influenced behavior is remarkable given that the pictures we employed to prime the concepts of "eyes" and "peers" were common 613 614 pictures: of an auditorium; statues; and students on the campus, that can be found on 615 any university website. Furthermore, it is noteworthy that the subjects in our 616 experiments were all trained in economics. Numerous studies have shown that 617 students majoring in economics act more in line with their material self-interest 618 (Frank et al., 1993). It is therefore surprising that even subjects who were trained in deducing what a rational self-interested actor would do in tasks similar to the ones 619

they encountered in the experiment, could be influenced by subtle, normativelyirrelevant cues.

622

623 As mentioned in the method section, using pictures of Erasmus' eyes has the 624 potential drawback of priming subjects with Erasmus as an intellectual or as the name 625 giver of the university. First, it should be noted that all subjects of the three treatments 626 were, in a sense, primed with "Erasmus". The name of Erasmus was displayed at least 627 four times on each screen of each treatment (see Figure 1, at the top and at the 628 bottom) and on the pictures that were common to all treatment. Furthermore, the 629 website that was used closely resembled the website of the Erasmus School of 630 Economics, at which all subjects studied. Secondly, former research showed that 631 priming subjects with university-related concept decreased the number of mistakes 632 that subjects make (Dijksterhuis & van Knippenberg, 1998).. In our experiment, this 633 would have meant that subjects should have made fewer errors in the individual 634 decision making tasks in the "eyes" treatment. As we have seen, especially in the choices between simple and compound gambles, this was not the case, and pictures of 635 "eyes" did not lead to better decisions. If they had, this would be in the direction of 636 the hypothesis that our results actually reject. 637

638

Our finding that the effect of eyes does not seem to be caused by social evaluation concerns concurs with the findings of Fehr and Schneider (2010). They found that eye cues did not influence social behavior in a setting where explicit, pecuniary reputation concerns did. We found that "eyes" cues did not impact behavior in individual decision making tasks, while social evaluation concerns did. Furthermore, "eyes" unequivocally led to more social behavior in our tasks, while

645 social evaluation concerns did not necessarily do so. However, the question remains 646 as to why eyes did not influence social behavior in any way in Fehr and Schneider's experiment (2010), while they did so in a range of others, including ours (Bateson et 647 al., 2006; Burnham & Hare, 2007; Ernest-Jones et al., 2011; Haley & Fessler, 2005; 648 649 Rigdon et al., 2009). A possible explanation could be that strong reciprocity is a 650 special form of behavior that is less malleable than the decision to donate money to a 651 random person or a public good without any prior history. In fact, recent evolutionary 652 theories suggest that strong reciprocity might be a vital form of behavior when it 653 comes to explaining the high levels of sociality found in human groups (Bowles & Gintis, 2004; Boyd et al., 2003; Gintis, 2000; Henrich & Boyd, 2001). If this is indeed 654 655 the case, then one can imagine that strong reciprocity is a strong and deeply ingrained 656 decision rule which cannot be easily overridden by subtle primes that operate largely 657 or completely at a level below the conscious awareness. This possibility opens up the 658 interesting question of what the boundary conditions of such subtle cues are, which 659 decisions they can override, and which decisions they cannot.

660

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Figure 1. Screenshot of the university website as used in the experiment

At the top left of the screen, pictures randomly rotate every six seconds. The picture displayed on the screenshot above is one of the images that were common to all treatments.



Figure 2. Sample pictures from each treatment

(A) benchmark, (B) "eyes", (C) "peers".



#### Figure 3. Results from the interaction task

The graph in (A) shows the percentage of subjects who choose to destroy their opposing player's money in the JoD mini-game, while the graph in (B) shows the mean amount of money transferred in the dictator game for different treatments.



#### Figure 4. Results from the individual decision making tasks

The graph in (A) shows the percentage of subjects who choose the ambiguous option (Bag U) over the risky option (Bag K), while the graph in (B) shows the percentage of subjects who do not make any errors in the last task.

	JoD		Dictator	game		
	Destruction Rate		Giving Rate		Amount given	
	mfx	( <i>p</i> )	mfx	( <i>p</i> )	coeff.	( <i>p</i> )
Constant					-3.156	(0.905)
Treatment effect (Benchmark is reference)						
"Eyes" treatment	-0.177	(0.008)	0.177	(0.046)	7.412	(0.043)
"Peers" treatment	-0.144	(0.038)	-0.086	(0.376)	-2.813	(0.514)
Background characteristics						
Age	-0.015	(0.497)	0.011	(0.719)	0.879	(0.496)
Gender (female = 1)	0.059	(0.460)	0.042	(0.643)	-3.042	(0.369)
Nationality (Dutch = 1)	-0.255	(0.003)	-0.217	(0.007)	-5.624	(0.088)
Year of study (First year and other are reference)						
Bachelor 2	0.018	(0.857)	-0.099	(0.390)	-5.291	(0.294)
Bachelor 3	0.036	(0.798)	0.056	(0.734)	-4.923	(0.393)
Master	0.179	(0.391)	-0.171	(0.406)	-7.305	(0.350)
Using a calculator (yes = 1)	-0.055	(0.430)	-0.016	(0.853)	-3.602	(0.314)
Sigma					18.511	
LL	-69.212		-97.920		-474.731	
Ν	150		162		162	

#### Table 1 – Regression Results for Interaction Tasks.

The table displays results for the regression analyses of subjects' decisions in the social interaction tasks. The decision to destroy in the JoD (yes or no) is modeled by a Probit regression model, as is the decision to give away money (yes or no) in the Dictator game. The actual amount given in the Dictator game (unconditional on giving) is captured by a Tobit model, which corrects for censoring of the data between 0 and 50 euro. The variables "Eyes" treatment and "Peers" treatment are dummy variables taking the value 1 if the subject participated in the particular treatment (Benchmark treatment serving as reference). Age measures the subjects age is years, gender is a dummy taking the value 1 if the subject is female, and Nationality is a dummy variable taking the value 1 if the subject is Dutch. A number of year of study variables take the value 1 if the subject is in a particular year of education, first year Bachelor students and other categories being the reference category. Using a calculator is a dummy variable taking the value 1 if the contestant admitted to having used a calculator in the experiment. In the Probit models, the marginal effect evaluated around the covariate means is shown, giving the estimates a quantitative interpretation. For both Probit and Tobit models, robust standard errors were used to calculate significance. P-values are between parentheses.

	Ellsber	g	Simple vs. Compound Gambles				
	Probability Bag U		Probability of Error		Number of Errors		
	mfx	<i>(p)</i>	mfx	<i>(p)</i>	coeff.	<i>(p)</i>	
Treatment effect (Benchmark is reference)							
"Eyes" treatment	0.105	(0.267)	0.084	(0.419)	-0.100	(0.632)	
"Peers" treatment	0.192	(0.033)	-0.225	(0.056)	-0.521	(0.023)	
Background characteristics							
Age	0.029	(0.173)	-0.005	(0.870)	-0.030	(0.655)	
Gender (female = 1)	-0.109	(0.143)	0.193	(0.021)	0.159	(0.404)	
Nationality (Dutch = 1)	-0.062	(0.427)	-0.204	(0.021)	-0.561	(0.007)	
Year of study (First year and other are reference)							
Bachelor 2	-0.056	(0.582)	0.048	(0.707)	-0.009	(0.973)	
Bachelor 3	-0.082	(0.485)	-0.030	(0.871)	0.158	(0.638)	
Master	-0.029	(0.837)	0.145	(0.407)	0.136	(0.719)	
Using a calculator (yes = 1)	-0.050	(0.485)	-0.583	(0.000)	-1.513	(0.000)	
Thresholds							
α1					-2.205		
α2					-1.755		
α3					-1.334		
α4					-0.780		
α5					-0.305		
α6					0.252		
LL	-81.583		-69.432		-239.698	3	
Ν	162		162		162		

#### Table 2 – Regression Results for Individual Decision Making Tasks

The table displays results for the regression analyses of subjects' decisions in the social interaction tasks. The decision to choose the ambiguous bag (Bag U) is modeled by a Probit regression model, as is the likelihood of making at least one error in the choices between simple and compound gambles. The number of errors made in the choices between simple and compound gambles is captured by an ordinal Probit model. All variables are defined as in Table 1. In the binary Probit models, the marginal effect evaluated around the covariate means is shown, giving the estimates a quantitative interpretation. In the ordinal Probit model, coefficients are shown, due to the large number of marginal effects they imply. Threshold values are given below the coefficient. For both binary

Probit and ordinal Probit models, robust standard errors were used to calculate significance. P-values are between

parentheses.